

IBDC/RIAC Annual Conference

March 4-6, 2024

The Grand Del Mar



Monday March 4, 2024	FIRM	TOPIC	
7:30-9:00	Breakfast		
9:00-9:15	Lilian Morvay, CEO IBDC-RIAC	Opening Remarks	
9:15-10:00	Inspirational Speaker, Bo Parfet, Managing Director of DPL Capital. Bo has significant experience evaluating, conducting due diligence and investing in a wide range of impact investments.		
10:00-10:45	Panel 1 Lead: Michael Schwartzberg-Winget Spadafora & Schwartzberg Gary Saretsky -Saretsky Hart Michaels + Gould	Alternative Forum to Litigate RIA claims	
10:45-11:00	Coffee Break		
11:00 - 11:45	Panel 2 Lead: Jonathan Sterling – Saretsky Hart Michaels + Gould Gerry Kowalski – Marshall Dennehey Jodee Brubaker-Rager – Geneos Wealth Management, Inc.	Expungement : Everything that you need to know about the new rule.	
11:45-12:30	Panel 3 Lead: Patrick Cox- Bates Group Laxmi Ramantha- La Meer Inc. John McKenna-ARC Excess & Surplus	How to create a sound cybersecurity breach strategy	
12:30-2:00	Lunch		
1:00-2:00	Breakout Session		

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2:00-2:45	PANEL 4 Scott Sherman – Nelson Mullins Cory Manning – Nelson Mullins Dan Newman – Nelson Mullins Insurance	SEC and FINRA Hot Topics	
2:45-3:30	Panel 5 Lead: Deanna LaPage Nancy Hendrickson Len Cooper Sam Cohen	Elder Abuse Cases: What does protecting your elderly client from Elder Abuse Entail	
3:30-4:15	Panel 6 Lead: Michael Kell-iCapital Network Justin Morehead -Core Realty Brad Updike – Mick Law Miriam Lefkowitz	Alternative Product Due Diligence: How to Identify Red Flags	
4:15-5:00	Panel 7 Lead: Brian Nally Ellen Slipp Patrick Cox	AI: The new Frontier	
6:30 – 9:00	Cocktails and Dinner		
Tuesday March 5			
7:30-9:00	Breakfast		
9:00-9:45	Panel 8 Lead: Rich D’Amura Lydia Zaidman	Deep Dive into creating a successful motion to dismiss in FINRA Arbitrations	
9:45-10:30	Panel 9 Lead: Barry Temkin Kate DeGeronimo	SEC Supreme Court Actions and FINRA Crypto	
10:30-10:45	Coffee Break		

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	<p>Panel 10</p> <p>Lead: Sam Edgerton Elizabeth DelCid Nicole Feder Ryan Friel</p>	<p>The evolving RIA Industry: Differences between Advisors and Registered Reps and the Types of Claims Each Face</p>	
11:30-12:15	<p>Panel 11</p> <p>Lead: Amir Tadjedin – TTE Law Group Mickey Wright – Lloyd, Gray, Whitehead & Monroe, PC Atea Martin - Berkshire Hathaway Chad Weather – Freeman, Mathis and Gary</p>	<p>Alternative Investments: Is the Risk Worth It?</p>	
12:15-1:00	<p>Panel 12</p> <p>Softek Sean O’Reilly Joshua Mason</p>	<p>Top 3 BD/RIA Supervisory- Compliance Pain Points and how Softek technology eases the pain and supports the defensibility of claims.</p>	
	Lilian Morvay CEO IBDC-RIAC	Closing Remarks	
1:30 – 5:00	Afternoon Activity		
7:00 – 10:00	Cocktails – Dinner		