

PROUDLY INTRODUCING

Designed for Broker-Dealer, Registered Investment Advisor and Insurance Agency firms and their advisors.

Great option for individual advisors and agents needing their own policy.



A modern solution for the financial world

Tired of a 10-page policy with 40 pages of confusing endorsements?

InvestaGuard

is modernized with top-shelf coverage included and industry best coverage options by endorsement.

Clear straight-forward E&O protection

Want to avoid hidden coverage traps?

InvestaGuard

is void of the many common loopholes which create coverage gaps.

The coverage you expect

Want your choice of preferred defense counsel?*

InvestaGuard

allows you the option of using your choice of qualified counsel to represent your interests.*

Broadest available Selling Away coverage included for the firm

Full limits offered on alternative investments***

Comprehensive and concise policy

Minimum premium negotiable

Insurer is A.M. Best Rated A:XV

Choice of preferred counsel*

CONTACT

Deanna Cordea at 513-335-2128 Dee@InterWebInsurance.com

Sheri Pontolillo 949-922-5315 Sheri@InterWebInsurance.com

* Subject to qualifications, approved rates, and claim management reporting requirements.

** / *** Subject to underwriting approval.

HIGHLIGHTS



- ✓ Fewer product exclusions
- ✓ Trade Error coverage included
- ✓ Strong innocent insured coverage
- ✓ Subsidiaries automatically included
- ✓ Consent to Settle language favors Insured; No hammer clause
- ✓ Named Insured not responsible for deductibles of other Insureds
- ✓ Insureds can settle claims within the retention without insurer consent
- ✓ 30% Deductible Reduction if matter resolved prior to trial or arbitration**
- ✓ Guaranteed six-year ERP available in the event of sale/merger of the firm
- ✓ Retentions as low as \$10,000 for firms / Split Retentions for individuals as low as \$1,000
- ✓ Clear coverage for Named Insured for claims involving Wrongful Acts of a former advisor
- ✓ Coverage applies for Wrongful Acts by any person for whose acts the Insured is legally liable
- ✓ Enhanced Insolvency Exclusion to allow placement with insurers rated A.M. Bests B+ or better
- ✓ Disciplinary Proceeding defense coverage, Pre-Claim Assistance and Loss of Earnings included
- ✓ Insolvency exclusion narrowed to provide broader coverage, particularly for alternative investments
- ✓ Relaxed timeframe to report claims (60 days post policy period) and broader awareness provision

InvestaGuard is the best our industry has seen in 15 years and available exclusively through InterWeb Insurance LLC.

With over 30 years' experience brokering financial services E&O, we created this policy with you, the policyholder, in mind.

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